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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY PATENT APPLICATION TRANSMITTAL LETTER

03/13/00
Jc780 U.S. PTO

Atty./Agent Docket No.: CE08291R

Mailing Date: March 13, 2000

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Jc530 U.S. PTO
09/524066
03/13/00

To: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing under 37 CFR 1.53 (b) is a Nonprovisional Utility Patent:

☒ New Application; or a ☐ Continuation, ☐ Division, or ☐ Continuation-in-Part (CIP)
Application of prior US application No. / , filed on , having US
Examiner , in Group Art Unit : of

Inventor(s): Mark D. Hetherington
Lee M. Proctor
Nai Sum Wong
John M. Harris

For (Title): Method and Apparatus for Checking Communicated Data

This transmittal letter has 2 total pages.

Enclosed are:

☒ 2 sheets of informal drawings, along with 15 pages of specification and claims,
☒ Oath or Declaration Combined with Power of Attorney (4 pages)
☒ Newly Executed (original or copy)
☐ Copy from a prior application (if this is a Continuation/Division with no new matter)
☐ Statement deleting named inventor(s) in prior application if this is a
Continuation/Division (See 37 CFR 1.63(d)(2) and 1.33(b).)
☐ Consider as the above Statement, Please delete as inventors for this application
the following inventors named in the prior application:

☐ A certified copy of a (non-US) application
S/N / , having a filing date of , and foreign
priority to
this non-US application for the present application is hereby claimed under 35 USC 119.

☒ An Assignment Transmittal Letter and Assignment of the invention to MOTOROLA, INC.

☐ An Information Disclosure Statement (IDS), with PTO-1449, and
 citation copies.

☐ Preliminary Amendment

☒ Print EFS

☒ Return Receipt Postcard

☐ Petition For Extension of Time for parent application of the present Continuation/Division/CIP
application

00524066-034300

Parameter	Value	Unit
α	0.1	
β	0.1	
γ	0.1	
δ	0.1	
ϵ	0.1	
ζ	0.1	
η	0.1	
θ	0.1	
ι	0.1	
κ	0.1	
λ	0.1	
μ	0.1	
ν	0.1	
ξ	0.1	
\omicron	0.1	
π	0.1	
ρ	0.1	
σ	0.1	
τ	0.1	
υ	0.1	
ϕ	0.1	
χ	0.1	
ψ	0.1	
ω	0.1	
Ω	0.1	
Θ	0.1	
Φ	0.1	
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Υ	0.1	
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CORRESPONDENCE INFORMATION

APPLICATION INFORMATION

Title Line One:: Method and Apparatus for Checking Commun
Title Line Two:: icated Data
Total Drawing Sheets:: 2
Formal Drawings?: No
Application Type:: Utility
Docket Number:: CE08291R
Secrecy Order in Parent Appl.?: No

Source:: PrintEFS Version 1.0.1

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METHOD AND APPARATUS FOR CHECKING COMMUNICATED DATA

Field of the Invention

5 The present invention relates generally to communication systems, and more particularly, the present invention relates to a method and apparatus for checking radio link protocol (RLP) data within a communication system.

10 Background of the Invention

Within a Code Division Multiple Access (CDMA), and other communication system types, communicated information, either voice or data, is carried between communication resources, e.g., a radiotelephone and a base station, on a communication channel. Within broadband, spread spectrum communication systems, such as CDMA based communication systems in accordance with Interim Standard IS-95B, a spreading code is used to define the communication channel.

In addition to a primary channel, the communication channel may also include one or more secondary channels. The secondary channels provide an ability to increase bandwidth by permitting transmission of information within these secondary channels during transmission of data, e.g., coded voice or data, within the primary channel. The primary channel is used to carry, either at a full transmission rate or some sub-rate, data such as coded voice or data. When not carrying data, the primary channel carries an idle data pattern.

The secondary channels may carry virtually any form of data, including what is referred to as RLP data. However, the secondary channels do not always carry data. For example, according to the IS-95B standard for high-speed data services over CDMA cellular systems, a given call may concatenate up to 7 supplemental channels with the fundamental channel to transmit high bandwidth data. The supplemental channels are required by IS-95B to either transmit at full rate or to mute the transmitter. The problem is that when the supplemental channels are muted, the receiver has no way of knowing this and the receiver attempts to decode the air signals as if a full rate frame was sent. Muting the transmitter results in a random data pattern, and it is this random data pattern that the receiver attempts to decode. The frame CRC is intended to screen these decoded random data frames; however, the IS-95B frame CRC is only 12-bits. This means for random data, the frame CRC will pass a frame as valid on average once every 4096 muted frames.

Interim Standard IS-707A specifies the transmission of RLP data, but provides very little error checking of data frames received. When corrupt data frames are received by the RLP layer after falsely passing the frame CRC, the typical result is a reset of the RLP layer. This causes data loss and requests for retransmission by higher layer protocols. When the RLP layer receives the corrupt data frame, it will detect missing data frames from the currently expected sequence number

up to the sequence number of the corrupt data frame
and will request retransmission of these data frames
from the peer RLP layer. Since the frames detected
as missing by one RLP layer were never really sent
5 by the peer RLP layer, the peer RLP layer cannot
comply with the request. According to the IS-707A
standard, the RLP layers need to resynchronize via
the RLP reset procedure. In most cases, this will
result in data loss to the higher layers, which
10 produces the overall effect of degraded bandwidth.
This can also affect triggering of the dormant timer
for packet data since the retransmission requests
and the RLP reset procedure appear as activity and
reset the timer. Timer reset can cause calls that
15 are effectively idle, to remain active and consume
resources.

Thus, there is a need for a method and
apparatus for providing RLP data checking in a
communication system.

20

Brief Description of the Drawings

FIG. 1 is a block diagram of a supplemental
band processing apparatus incorporating an RLP data
25 checking stage in accordance with a preferred
embodiment of the invention.

FIG. 2 is a block diagram illustrating an
apparatus for providing RLP data checking in
accordance with a preferred embodiment of the
30 invention.

FIG. 3 is a block diagram illustration of the frame serialization function illustrated in the block diagram of FIG. 2.

FIG. 4 is a flow chart illustrating a method of RLP data checking in accordance with a preferred embodiment of the invention.

Detailed Description of the Preferred Embodiments

10 An apparatus and method provide RLP data checking. In accordance with preferred embodiments of the invention, an apparatus includes a frame serialization stage and a bad frame filter that detects possible bad frames and reclassifies these
15 bad frames as erasures. In this manner, bad frame data are not passed to the RLP layer, and unnecessary resetting and resynchronization steps are avoided.

With reference to FIG. 1, a data processing
20 apparatus 10 includes a symbol quality assessment stage 12, a frame CRC stage 14 and a RLP data layer 16. The symbol quality assessment stage 12 evaluates data frames utilizing a weighting procedure to ensure that no more than a
25 predetermined percent of the bad frames are passed on to the CRC stage 14. To overcome problems associated with passing random frames from the CRC stage 14, it is possible to adjust the threshold of the symbol quality assessment stage 12. However,
30 such an adjustment would necessarily result in rejecting a higher percentage of good frames.

Rejecting good frames requires higher layer activity to request retransmission of these frames. Thus, adjusting the symbol quality assessment stage 12 is not a viable solution to the problem of passing bad frames to the RLP layer 16. Therefore, to overcome the problem of passing bad frames to the RLP data layer 16, disposed between the CRC stage 14 and the RLP data layer 16 is a pre-RLP data checking stage 18.

FIG. 2 illustrates the pre-RLP data checking stage 18, which, as can be seen from FIG. 2, includes a frame serialization stage 20 and a bad frame filter stage 22. The frame serialization stage 20 receives RLP frames from the IS-95 layer, and serializes them according to the frame sequence number associated with each frame.

The process of frame serialization is illustrated in FIG. 3, wherein a plurality of frames having sequence numbers 24 are received by the frame serialization stage 20. The frame serialization stage further receives expected sequence number data, $V(R)$, from the RLP layer. An output of the frame serialization stage 20 is a serialized sequence of frames 26. In the example illustrated in FIG. 3, the expected sequence number, $V(R)$ is 11, the received frames are numbered 12, 13, 15, 16 and 99 along with an erasure frame 28 and an invalid frame 30. The frame serialization stage 20 is operable to insert a place holding frame "X" in place of potentially missing frames. Thus, the serialized frame sequence 32 is shown in FIG. 3 as

"X 12 13 X 15 16 99" where "Xs" have been inserted for the apparently missing frames 11 and 14. Once the sequence has been serialized, the sequenced frames may be filtered by the bad frame filter stage 22. In accordance with a preferred embodiment of the invention, the frame bearing sequence number "99" would be reclassified as an erasure because it is substantially out of sequence in comparison with the expected sequence number and the maximum number of frames that could be received within a particular time slot.

A process 400 for filtering used by the bad frame filter stage 22 is illustrated in the flow chart of FIG. 4. A feature of the bad frame filter stage 22 is the reclassification of suspected bad frame data as erasures. Erasures are recognized by the RLP layer, and do not cause initiation of reset procedures. The process 400 starts at step 402 and a consecutive erasure/invalid counter, C_i , and a consecutive serial erasure counter, C_s , are reset. For each frame received, step 406, the process first determines whether the frame is a new RLP data frame or not, step 408. RLP control frames and retransmitted data frames are not filtered by the process since RLP already protects against errors for these types of frames. For the purposes of this process, these frame types are treated as erasure/invalid frames and the consecutive erasure counters are incremented, step 422.

30 If the received frame is a new data frame, the
consecutive erasure counter for the given channel is

compared against a threshold value, step 410, to determine whether the frame is eligible for being discarded. If it is, then the frame sequence number of the received frame is compared with the next
5 expected sequence number $V_s(R)$, step 412, and to the number of consecutive erasures from the serialized frame sequence 32, step 414, to determine whether the frame is valid or not. If the frame is
10 considered to have an invalid sequence number, the consecutive reclassification counter is incremented, step 416, and compared to a threshold, step 418. If the threshold has not been exceeded, the frame is discarded, step 420, and the consecutive erasure counters are updated, step 422. Otherwise the frame
15 is considered valid since it is possible that the frame was received within the elapsed time. In this case, the consecutive reclassification frames counter C_n is reset, step 424, $V_s(R)$ is updated to be one greater than the valid sequence number, steps
20 426 and 428 and the counters C_i and C_s are reset. It should be noted that $V_s(R)$ is reinitialized to $V(R)$ (from the RLP layer) at the beginning of each 20 millisecond (ms) frame block.

The proposed process has been designed with
25 several configurable parameters to alter its behavior. The consecutive channel erasure threshold (T_i) may be used to prevent reclassification when a given channel is transmitting on most of its timeslots. The consecutive reclassification
30 threshold is designed to protect the algorithm in the event that a gap in RLP sequence number does

develop without the appropriate amount of elapsed
time. The sequence number guard pad allows the
algorithm to allow some small deviations in
sequencing before declaring the frame invalid and
5 forcing reclassification.

The process described could also be expanded to
delay decisions on frame reclassification until the
next set of frames has arrived. This would
introduce some delay in the process; however,
10 information regarding further sequence numbers may
strengthen the algorithm and reduce the probability
of bad decisions. For example, if a group of frames
appear substantially out of sequence, it may be
because one or more data packet(s) has/have been
15 delayed. The next sequence of frames may reveal
that an otherwise out of sequence frame, is the
correct next frame.

The invention has been described in terms of
several preferred embodiments. These preferred
20 embodiments are meant to be illustrative of the
invention, and not limiting of its broad scope,
which is set forth in the following claims. For
example, the invention may find application in
systems designed in accordance with the IS-95A
25 single variable rate channel structure or in
accordance with the IS-95C channel structure, or in
any radio-frequency environment where RLP is
utilized. One of ordinary skill in the art will
appreciate that the invention will benefit virtually
30 any communication system where discontinuous
transmission (DTX) is utilized as such system will

CLAIMS

1. Within a communication system providing communication services on a communication channel, a method of correcting information communicated on the communication channel comprising the steps of:
- receiving on the communication channel a plurality of data frames, wherein the plurality of data frames include at least one of valid data frames, erasure data frames and invalid data frames and wherein each valid data frame comprises an associated data frame sequence identifier;
 - sequencing the plurality of data frames according to the data frame sequence identifiers to form a data frame sequence;
 - identifying within the data frame sequence an omitted data frame;
 - inserting within the data frame sequence a place holder frame for the omitted data frame;
 - determining a valid frame sequence parameter;
 - validating the data frame sequence using the valid frame sequence parameter.
2. The method of claim 1, further comprising the step of modifying the data frame sequence responsive to the data frame sequence parameter.
3. The method of claim 1, further comprising the step of characterizing received valid data frames as invalid data frames responsive to the data frame sequence parameter.

4. The method of claim 1, further comprising,
before the step of validating the data frame
sequence, the step of receiving a next data frame
5 sequence.

5. A method of providing RLP data checking
comprising:
receiving a plurality of RLP data frames;
10 sequencing the plurality of RLP data frames
according to data frame sequence identifiers to form
a data frame sequence;
identifying within the data frame sequence an
omitted data frame;
15 inserting within the data frame sequence a
place holder frame for the omitted data frame;
determining a valid frame sequence parameter;
validating the data frame sequence using the
valid frame sequence parameter.

20 6. The method of claim 5, further comprising the
step of modifying the data frame sequence responsive
to the data frame sequence parameter.

25 7. The method of claim 5, further comprising the
step of characterizing received valid data frames as
invalid data frames responsive to the data frame
sequence parameter.

8. The method of claim 5, further comprising,
before the step of validating the data frame
sequence, the step of receiving a next data frame
sequence.

5

9. A method of providing RLP data checking
comprising:

receiving a plurality of RLP data frames;
identifying from the RLP data frames a suspect
10 frame, reclassifying the suspect frame to form
a reclassified frame, and
passing the reclassified frame to a RLP data
detector.

15 10. The method of claim 9, wherein the step of
reclassifying the suspect frame comprises
reclassifying the bad frame as an erasure.

20 11. The method of claim 10, wherein the step of
reclassifying the suspect frame comprises inserting
a place holder frame.

25 12. The method of claim 10, wherein the step of
reclassifying the suspect frame comprises
characterizing received a valid data frame as an
invalid data frame responsive to a data frame
sequence parameter.

17. An apparatus for RLP data checking comprising:
a frame serialization stage, the frame
serialization stage coupled to receive a plurality
of RLP data frames, each of the plurality of RLP
5 data frames having a sequence number and the frame
serialization stage being operable to provide a
sequenced data frame output;
a frame filter coupled to the frame
serialization stage to receive the sequenced data
frame output and to provide a filtered data frame
10 output; and
wherein, place holder frames are inserted in
the sequenced data frame output for suspected
omitted frames, and erasure frames are inserted in
15 the filtered data frame output for suspected bad
data frames.

18. The apparatus of claim 17, wherein the frame
serialization stage is coupled to receive a next
20 expected sequence number.

19. The apparatus of claim 17, wherein the frame
serialization stage is coupled to an output of a
frame CRC check stage and the frame filter is
25 coupled to an input of an RLP data layer.

An apparatus (18) and method (100) provide RLP data checking. The apparatus (10) includes a frame serialization stage (20) and a bad frame filter (22) that detects possible bad frames and reclassifies these bad frames as erasures. In this manner, bad frame data are not passed to the RLP layer (16), and unnecessary resetting and resynchronization steps are avoided.

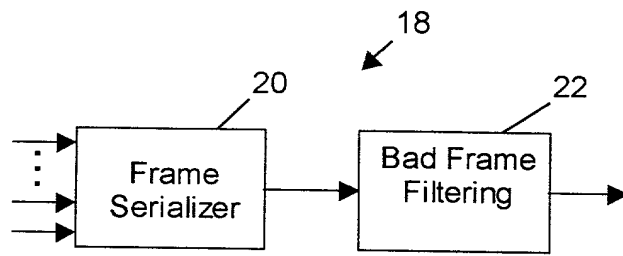


FIG. 2

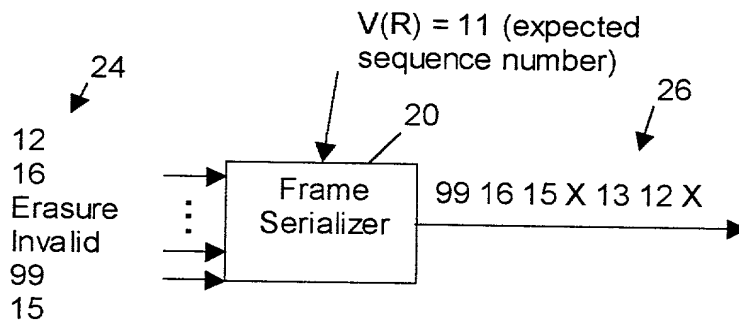


FIG. 3

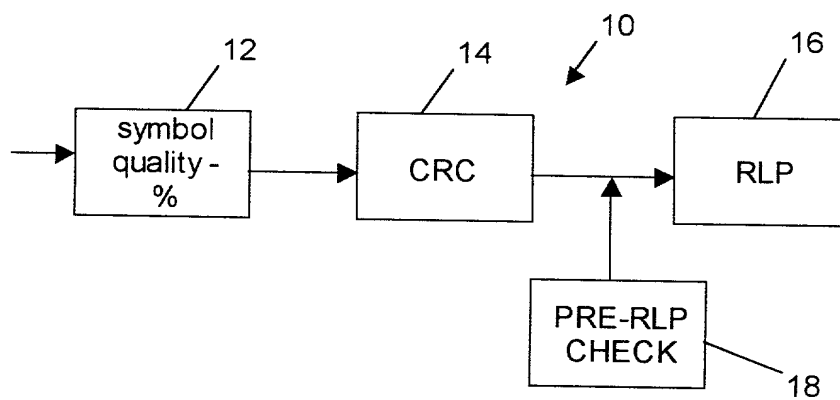


FIG. 1

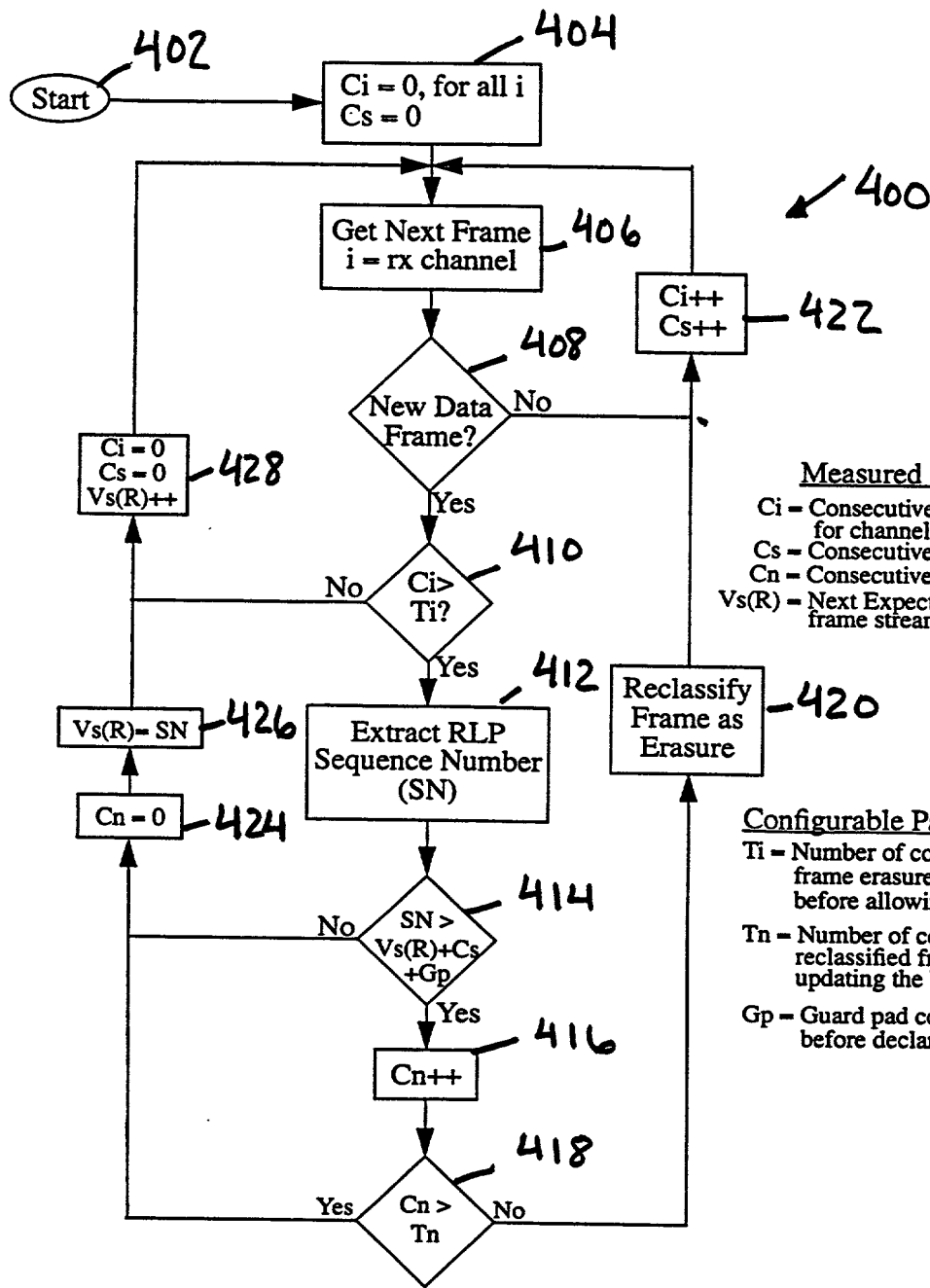


FIG. 4

PATENT APPLICATION DECLARATION COMBINED
WITH POWER OF ATTORNEY

X REGULAR (UTILITY) OR DESIGN APPLICATION
(check one)

Attorney Docket
No. CE08291R

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Method and Apparatus for Checking Communicated Data, the specification of which:

(check one) X is attached hereto.
 was filed on _____ as
U.S. Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

(check one)	<u> X </u> no such applications filed.	Priority Claimed
	<u> </u> such applications identified as follows:	
(Serial No.) _____	(Country) _____ (Day/Month/Year Filed) _____	Yes No
(Serial No.) _____	(Country) _____ (Day/Month/Year Filed) _____	Yes No
(Serial No.) _____	(Country) _____ (Day/Month/Year Filed) _____	Yes No

I hereby claim the priority benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which is material to the examination of this application and which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Applications(s):

(check
one)

 X no such applications filed.

 such applications identified as follows:

(Application Serial No.) (Filing Date) (Status) (Patented, Pending, Abandoned)

(Application Serial No.) (Filing Date) (Status) (Patented, Pending, Abandoned)

(Application Serial No.) (Filing Date) (Status) (Patented, Pending, Abandoned)

I hereby declare that: as to any claimed subject matter of this application which is common to my earlier United States or foreign application(s), if any, which I have identified above and claimed the benefit of priority thereof, I do not believe that the same was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the first of said earlier application(s), or in public use or on sale in the United States more than one year prior to the first of said earlier application(s), and that the said common subject matter has not been patented or made the subject of an inventor's certificate before the date of the first of said earlier U.S. application(s) in any country foreign to the United States on an application, filed by me or my legal representatives or assigns more than twelve months (six months if the present application is a Design patent application) prior to the first of said earlier U.S. application(s), if any; and that, as to any claimed subject matter of this application which is not common to said earlier application(s), if any, I do not know and do not believe that the same was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States more than one year prior to the date of this application, and that said subject matter has not been patented or made the subject of an inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months (six months if the present application is a Design patent application) prior to the date of this application.

I HEREBY APPOINT THE FOLLOWING AS MY ATTORNEY(S) OR AGENT(S) WITH FULL POWER OF SUBSTITUTION TO PROSECUTE THIS APPLICATION AND TRANSACT ALL BUSINESS IN THE PATENT AND TRADEMARK OFFICE CONNECTED THEREWITH:

NAME(S)	REGISTRATION NO.(S)	ASSOCIATE POWER OF ATTORNEY ATTACHED
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 Yes X
 No

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of
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Inventor's signature 

Date 3/6/2000

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